

October 13, 2006 WB-06-41

The Bylaws and Rules of NYSE Arcasm Exchange ("Exchange"), in certain specific instances, require the Exchange to provide notice to all OTP Firms and OTP Holders (collectively "OTPs") and ETP Holders ("ETPs"). To satisfy this requirement, a copy of the *Weekly Bulletin*, including *Regulatory Bulletins*, is provided to all OTPs and ETPs on a weekly basis.

Pursuant to Exchange Rules, all OTPs and ETPs are reminded to promptly report business, home and mailing address changes, telephone number changes, and e-mail address changes to crs@archipelago.com Client Registration Services at (415) 393-4114.

NYSE Arca | Equities

APPROVED ETP APPLICANTS*

Alaris Trading Partners, LLC

APPROVED MARKET MAKER/ETP HOLDERS*

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TERMINATED ETP HOLDERS*

—

NAME CHANGE

—

TERMINATED ETP HOLDERS

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Total ETP Holders	650
Total MM/ETP Holders	42

* for current week (as of 8 a.m. today)

NAME/ORGANIZATIONAL CHANGES

10/10 – Brut, LLC had changed its name to Nasdaq Execution Services, LLC effective October 4, 2006

NEW OTP FIRMS AND HOLDERS

(none)

TERMINATED OTP FIRMS AND HOLDERS

(none)

OTP POSTINGS

The following applicants have applied for OTP status at the Exchange and are being posted for a period of three business days, during which time interested OTPs may submit written comments with respect to the qualifications of the applicants. Admission of applicants will become effective after applicants have been cleared and approved for OTP status pursuant to the policies of the Exchange.

(none)

REPORTS DUE TO THE EXCHANGE

Focus Report Due Dates – SEC Rules 17a-5(a) & 17a-10

FOCUS Reports must be submitted electronically and received by the PCX Financial & Operational Compliance Department on or before the following due dates:

Monthly FOCUS Reports are required from all ArcaEx Market Makers, Order Service Firms and PCX Lead Market Makers:

October 2006 Monthly FOCUS Report
Due: Friday, November 24, 2006

Quarterly FOCUS Reports are required from all Clearing OTPs and DEA Broker-Dealers subject to the SEC Net Capital Rule:

3rd Quarter 2006 FOCUS Report
Due: Tuesday, October 24, 2006

Annual Audited Financial Statements – SEC Rule 17a-5(d)

OTPs and ETPs are reminded to file their Annual Reports with the PCX Financial & Operational Compliance Department by the following due dates:

OTPs and ETPs with FYE August 31, 2006 October 30, 2006

OTPs and ETPs with FYE September 30, 2006 . November 29, 2006

OTPs and ETPs are reminded to submit Annual Audited Financial Statements, Material Change Forms, Financial Arrangement Disclosures, and SIPC payments to the following address:

NYSE Group, Inc.
Attn: [Firm's NYSE Coordinator]
20 Broad Street, 21st Floor
New York, NY 10005
Fax: (212) 656-5748

REGULATORY BULLETINS/NOTICES IN THIS ISSUE

(none this week)