

PCX EQUITIES

REGULATORY INFORMATION BULLETIN

RBE 02-05
September 27, 2002

TO: All PCXE Market Makers and Odd Lot Dealers

FROM: PCX Regulation Division

SUBJECT: Rescission of Temporary Suspension for Odd Lot Dealer Securities Registration

Please be advised that **the temporary suspension per Rule 7.25(d)(2)¹** which allows for suspension of an Odd Lot Dealers registration in a security or securities in the interest of maintaining a fair and orderly market, **has been lifted**. The system issues with the Odd Lot Fill Report have been resolved.

If you have any questions regarding this matter, please contact David Rosedahl, Executive Vice President and Chief Regulatory Officer at (415) 393-4037 or John Chapin, Director, Equities Surveillance at (312) 442-7790.

¹ Please refer to PCXE Bulletin 02-03.